



PRIVACY POLICY



What does Main Street investment Advisors (MSIA) do with your personal information?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and potentially share when required, or to provide you services are as follows:

Name and Address	Cash Balances and Account Holdings
Account Activity	Purchase and Sale Transactions

When you are no longer our client, we continue to share your information as described in this notice.

How?

All financial companies need to share client’s personal information to conduct their everyday business. In the section below, we list the reasons financial companies can share their client’s personal information, the reasons Main Street Investment Advisors LLC chooses to share, and whether you can limit this sharing.

Reasons we can share your personal information	Does MSIA share?	Can you limit this sharing??
For our everyday business purposes	Yes	No
For our marketing purposes	No	We do not share
For joint marketing with other financial institutions	No	We do not share
For our affiliates’ everyday business purposes	No	We do not share
For non-affiliates to market to you	No	We do not share

Questions?

MSIA Compliance Department
Phone: 847-480-7055
E-mail: jross@msinvadv.com

Who we are

Who is providing this notice?

Main Street Investment Advisors, LLC (MSIA)

What we do

How does Main Street Investment Advisors protect my personal Information?

Our personnel are required to protect the confidentiality of information and comply with our established policies. They may access information only when there is an appropriate reason to do so, such as to administer your accounts. We collect only relevant information about our clients. We restrict your personal information to only those individuals who need that information in order to provide services for you. We maintain physical, electronic, and procedural safeguards that comply with state and federal regulations to protect your personal information.

How does Main Street Investment Advisors collect my personal Information?

We collect nonpublic personal information about you from the following sources: (a) Information we receive from you on applications or other forms, such as name, address, social security number, assets and income, (b) Information about your transactions with us, our affiliates, or others; (c) Information we receive from a consumer reporting agency; and (d) periodic personal performance reviews.

Why can't I limit all sharing?

Main Street Investment Advisors LLC limits the collection and use of information to the absolute minimum we require to deliver superior service to you, and to comply with industry rules and regulations. This sharing of information is required in order for us to conduct our everyday business of maintaining your accounts, processing transactions and administering our business.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

MSIA does not share with our affiliates.

Non-affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

MSIA does not share with non-affiliates.

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

MSIA does not jointly market.

Other important information

As described above, we may disclose information we collect from you in connection with our everyday business purposes. Examples of our everyday business purposes include but are not limited to: account opening with a broker or custodian, processing transactions, auditing purposes and responding to any regulatory authorities, court orders or legal investigations. This notice complies with federal law and SEC regulations regarding privacy